SEC Form 4
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### FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Hackworth Bryan M		rson*	2. Issuer Name <b>and</b> Ticker or Trading Symbol UNIVERSAL ELECTRONICS INC UEIC		5. Relationship of Reporting Person(s) to Issue (Check all applicable) Director 10% Owne Officer (circs title			
(Last) 15147 NORTH S	st) (First) (Middle) 147 NORTH SCOTTSDALE ROAD		3. Date of Earliest Transaction (Month/Day/Year) 08/10/2023	X	Officer (give title below) SVP and C	Other (specify below) FO		
H300 (Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filir Form filed by One Rep Form filed by More tha	porting Person		
(City)	AZ (State)	85254 (Zip)	Rule 10b5-1(c) Transaction Indication					
Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.								

# Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8) 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and B)				6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	08/10/2023		М		724	Α	(1)	62,330	D	
Common Stock	08/10/2023		F		387(2)	D	<b>\$10.165</b> <sup>(3)</sup>	61,943	D	
Common Stock	08/11/2023		М		421	A	(1)	62,364	D	
Common Stock	08/11/2023		F		225 <sup>(2)</sup>	D	<b>\$9.59</b> <sup>(3)</sup>	62,139	D	

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

6. Date Exercisable and 1. Title of 3. Transaction 3A. Deemed 5. Number 7. Title and 8. Price of 9. Number of 10. 11. Nature Derivative Conversion Date (Month/Day/Year) Execution Date Expiration Date (Month/Day/Year) Amount of Derivative of Indirect Trai ction derivative Ownership if any Derivative Securities Security or Exercise Code (Instr. Security Securities Form: Beneficial Underlying Derivative Security (Instr. 3 and 4) Direct (D) or Indirect (I) (Instr. 4) Price of Derivative (Instr. 3) (Month/Day/Year) 8) Securities (Instr. 5) Beneficially Ownership Owned Following (Instr. 4) Acquired (A) or Disposed of (D) (Instr. 3, 4 Security Reported Transaction(s) (Instr. 4) and 5) Amount Number Date Expiration of (A) (D) Exercisable Title Shares Code v Date Restricted Commo Stock Units 08/10/2023 Μ 724 (4) (4) 724 \$0.00 21,751 D Stock Restricted Commor Stock (1)08/11/2023 Μ 421 (4) (4) 421 \$0.00 21,330 D Stock Units Employee Stock Common Option 152,100<sup>(7)</sup> (5) (6) (6) 0 D Stock (Rt. To Buy)

#### Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of UEI common stock.

2. This transaction represents a withholding of shares to cover taxes applicable to a vesting of RSUs also reported on this Form 4.

3. Price determined in accordance with the terms of the Company's applicable Stock Incentive Plan.

4. The restricted stock units vest in accordance with the vesting schedule of each RSU Grant.

5. Exercise Price determined in accordance with the terms of the Company's applicable Stock Incentive Plan.

6. The Exercisable and Expiration Dates were reported at the time the Stock Options were granted.

7. This figure represents an aggregate number of stock options held by Reporting Person.

Remarks:

/s/Bryan M. Hackworth, by <u>Richard A. Firehammer, Jr.,</u> <u>pursuant to Limited Power of</u> 08/14/2023 <u>Attorney dated August 5, 2006</u> (attached)

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.