SEC Form 4
------------

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

	s of Reporting Perso	n*	2. Issuer Name <b>and</b> Ticker or Trading Symbol UNIVERSAL ELECTRONICS INC [ UEIC	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<b>KOOPMANS</b>	MENNO				Director	10% Owner			
(l. aat)	(Firot)	(Middle)	]	X	Officer (give title below)	Other (specify below)			
(Last) 15147 NORTH S	(First) SCOTTSDALE RO	(Middle) DAD	3. Date of Earliest Transaction (Month/Day/Year) 05/11/2022	Sr. V.P., Global Sales					
H300									
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)	Δ7	85254		X	Form filed by One Repor	rting Person			
		03234			Form filed by More than Person	One Reporting			
(City)	(State)	(Zip)							
	_				<b>.</b> .				

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	05/11/2022		М		211	A	(1)	9,258	D	
Common Stock	05/11/2022		F		92 <sup>(2)</sup>	D	<b>\$27</b> .11 <sup>(3)</sup>	9,166	D	
Common Stock	05/12/2022		М		271	Α	(1)	9,437	D	
Common Stock	05/12/2022		F		118(2)	D	<b>\$26</b> .7 <sup>(3)</sup>	9,319	D	

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code (	Transaction of Expiration Date Code (Instr. Derivative (Month/Day/Year) B) Acquired		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(1)	05/11/2022		М			211	(4)	(4)	Common Stock	211	\$0.00	7,795	D	
Restricted Stock Units	(1)	05/12/2022		М			271	(4)	(4)	Common Stock	271	\$0.00	7,524	D	
Employee Stock Options (Rt. To Buy)	(5)							(6)	(6)	Common Stock	0		45,540 <sup>(7)</sup>	D	

#### Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of UEI common stock.

2. This transaction represents a withholding of shares to cover taxes applicable to a vesting of RSUs also reported on this Form 4.

3. Price determined in accordance with the terms of the Company's applicable Stock Incentive Plan.

4. The restricted stock units vest in accordance with the vesting schedule of each RSU Grant.

5. Exercise Price determined in accordance with the terms of the Company's applicable Stock Incentive Plan.

6. The Exercisable and Expiration Dates were reported at the time the Stock Options were granted.

7. This figure represents an aggregate number of stock options held by Reporting Person.

**Remarks:** 

//S/Menno Koopmans by Valerie J. Ballard pursuant to Limited Power of Attorney dated February 3, 2017 (attached)

\*\* Signature of Reporting Person

05/13/2022

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.