SEC Form 4

FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
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Filed pursuant to	Section 16(a)	of the S	ecurities

iled pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Hackworth Bryan M</u>	2. Issuer Name and Ticker or Trading Symbol UNIVERSAL ELECTRONICS INC	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner								
(Last) (First) (Middle) 15147 NORTH SCOTTSDALE ROAD	J 3. Date of Earliest Transaction (Month/Day/Year) 11/10/2023	X Officer (give title Other (specify below) below) SVP and CFO								
H300 (Street) SCOTTSDALE AZ 85254	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person								
(City) (State) (Zip)	Rule 10b5-1(c) Transaction Indication									
Table I - Non-Derivative Securities Acquired. Disposed of, or Beneficially Owned										

Table 1- Non-Derivative Decantics Acquirea, Disposed of, of Denencially Owned											
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Dis Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)	
Common Stock	11/10/2023		М		724	Α	(1)	67,963	D		
Common Stock	11/10/2023		F		387(2)	D	\$8.395 ⁽³⁾	67,576	D		
Common Stock	11/11/2023		М		421	Α	(1)	67,997	D		
Common Stock	11/11/2023		F		225 ⁽²⁾	D	\$8.395 ⁽³⁾	67,772	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of 6. Date Exercisable and Expiration Date (Month/Day/Year) 8) 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 6. Date Exercisable and Expiration Date (Month/Day/Year)		ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(1)	11/10/2023		М			724	(4)	(4)	Common Stock	724	\$0.00	20,606	D	
Restricted Stock Units	(1)	11/11/2023		М			421	(4)	(4)	Common Stock	421	\$0.00	20,185	D	
Employee Stock Option (Rt. To Buy)	(5)							(6)	(6)	Common Stock	0		152,100 ⁽⁷⁾	D	

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of UEI common stock.

2. This transaction represents a withholding of shares to cover taxes applicable to a vesting of RSUs also reported on this Form 4.

3. Price determined in accordance with the terms of the Company's applicable Stock Incentive Plan.

4. The restricted stock units vest in accordance with the vesting schedule of each RSU Grant.

5. Exercise Price determined in accordance with the terms of the Company's applicable Stock Incentive Plan.

6. The Exercisable and Expiration Dates were reported at the time the Stock Options were granted.

7. This figure represents an aggregate number of stock options held by Reporting Person.

Remarks:

/s/Bryan M. Hackworth, by Richard A. Firehammer, Jr., pursuant to Limited Power of Attorney dated August 5, 2006 (attached)

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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